

PROCESS DOMAINS AND THE RIVER CONTINUUM<sup>1</sup>David R. Montgomery<sup>2</sup>

**ABSTRACT:** The concept of process domains is proposed as an alternative to the River Continuum Concept for the influence of geomorphic processes on aquatic ecosystems. Broadly defined, the Process Domain Concept is a multi-scale hypothesis that spatial variability in geomorphic processes governs temporal patterns of disturbances that influence ecosystem structure and dynamics. At a coarse scale, regional climate, geology, vegetation, and topography control the suite of geomorphic processes that are distributed over a landscape. Within the broad context so defined, stream channel classification can guide identification of functionally similar portions of a channel network, but the response of otherwise similar reaches can depend upon their geologic and geomorphic context. Within geomorphic provinces defined by differences in topography, climate history, and tectonic setting, areas with generally similar geology and topography define lithotopo units, which are useful for stratifying different suites of dominant geomorphic processes. Process domains are spatially identifiable areas characterized by distinct suites of geomorphic processes, and the Process Domain Concept implies that channel networks can be divided into discrete regions in which community structure and dynamics respond to distinctly different disturbance regimes. The concepts of process domains and lithotopo units provide both a framework for the application of patch dynamics concepts to complex landscapes and a context for addressing the effects of watershed processes on the ecology of mountain drainage basins.

(**KEY TERMS:** watershed management; aquatic ecosystems; erosion; sedimentation; hydrobiology; process domains; river continuum.)

## INTRODUCTION

Understanding the role of spatial and temporal variability on links between geomorphic and ecological processes is central to achieving greater understanding of the consequences of land use change on the ecology of mountain drainage basins. A key challenge confronting efforts to better integrate an understanding of geomorphic processes into ecosystem management is how to compare such influences both

across and within physiographically diverse regions. Although the process of watershed analysis can provide a means for better understanding linkages between geomorphic processes and ecological systems (Montgomery *et al.*, 1995), watershed-based approaches provide only a piece of a larger framework (Omernik and Griffith, 1991; Omernik and Bailey, 1997) and do not inherently provide guidance for how to interpret such linkages. This is particularly true for stream channel assessment and restoration efforts, as each channel reach has its own geomorphic context and associated history (Kondolf, 1995; Morris, 1995; Montgomery and Buffington, 1997).

General approaches to linking geomorphic processes and ecological systems incorporate conceptual frameworks that directly or indirectly guide sampling strategies. Perhaps the dominant framework for geomorphic influences on forest ecology is whether community structure tends toward an equilibrium condition or whether non-equilibrium communities are maintained by disturbances that occur frequently in respect to succession (Bormann and Likens, 1979). The dominant conceptual framework in aquatic ecology is the River Continuum Concept (RCC) proposed by Vannote *et al.* (1980), although the landscape mosaic concept also has been applied to river corridors (Forman, 1995). Many geomorphic schemes are available for classifying different types of stream channels (Church, 1992; Rosgen, 1994; Montgomery and Buffington, 1997). The power of such conceptual frameworks lies in the degree to which they guide sampling and interpretation of channel conditions or designing restoration activities. However, none of these approaches sets a channel reach into a context of watershed disturbance processes.

<sup>1</sup>Paper No. 97133 of the *Journal of the American Water Resources Association*. Discussions are open until December 1, 1999.

<sup>2</sup>Associate Professor, Department of Geological Sciences, Box 351310, University of Washington, Seattle, Washington 98195 (E-Mail: dave@bigdirt.geology.washington.edu).

The general lack of process-based context in the field of environmental management has resulted in great emphasis on sampling strategies based on the philosophy that environmental variability is primarily a statistical problem (e.g., EPA's EMAP program). Scientists and regulators also use characteristics of pristine areas as reference standards against which to evaluate the condition of other areas (Hughes *et al.*, 1986). Although useful, these approaches can suffer from the problem that local geomorphic context and disturbance history profoundly influence ecological systems. Moreover, enough pristine sites may not exist in a region to build a comprehensive library of reference conditions for all channel types. Furthermore, both random sampling and pristine refugia approaches to assessing environmental variability can result in misleading comparisons when they ignore systematic patterns in the landscape processes that structure habitat and drive disturbances. As a means to overcome such shortcomings, the ecoregion and subecoregion approach to landscape stratification exemplifies how random sampling can be adapted to hierarchical variations in environmental conditions (Omernik, 1987; Bryce and Clarke, 1996). Nonetheless, the question of how to devise appropriate schemes for stratifying the assessment of landscape states and habitat condition remains a central challenge to integrating consideration of geomorphic processes into watershed management and restoration programs.

Watershed assessment and restoration efforts need to address local-scale impacts associated with particular land management actions. At the same time, the history of downstream routing, integration, and cumulative effect of upland impacts are ecologically relevant and yet difficult to interpret and assess without reference to a larger-scale spatial context and disturbance history. At present, such a framework for connecting disturbance history (and potential) to a spatial context generally is missing from conceptual models of geomorphic influences on ecological systems. This shortcoming is particularly true for current conceptual frameworks in aquatic ecology.

In this paper I discuss the importance of geomorphic context on physical habitat and introduce the concept of lithotopo units as a discrete hierarchical level for organizing analyses of geomorphic influences on ecosystems. I then discuss conceptual models for linking geomorphology and ecology and in particular whether the RCC is an adequate framework for assessing such linkages in mountain drainage basins. Based on these considerations I propose the concept of process domains for examining the influence of geomorphic processes on aquatic ecosystems. Finally, I present examples of how the concept of process domains provides an organizing framework for

linking the dynamics of watershed processes and aquatic ecosystems.

## GEOMORPHIC CONTEXT AND PHYSICAL HABITAT

Geomorphic processes affect ecosystems through their influence on physical habitat structure, although biological processes can, in turn, influence physical processes (Figure 1). At the broadest scale, climate, geology and topography dictate general runoff characteristics, substrate type, and slope (a primary control on both hillslope and channel processes). In mountain drainage basins, the type and distribution of geomorphic processes vary within the context imposed by such large-scale influences, and a hierarchical perspective (Frissell *et al.*, 1986) helps to organize examination of geomorphic influences on ecological systems (Figure 2). Tectonic setting and climate history control the gross distribution of bedrock types, surficial deposits, and topography throughout a region, within which geomorphic provinces distinguish areas with different physiography, bedrock type and structure, climate and climate history. Lithotopo units define finer-scale areas with similar topography and geology and within which similar suites of geomorphic processes influence gross habitat characteristics and dynamics (Montgomery, 1996). Process domains define specific areas in which particular geomorphic processes govern habitat attributes and dynamics.

At the highest level of this hierarchy, tectonic setting defines the long-term rock uplift rates and boundary conditions that drive physiographic development, and also governs the suites of rock types present in a landscape. The eruption of Mt. St. Helens, for example, dramatically illustrated the effect of such macro-scale geomorphic influences on ecological processes at this scale (Bilderback, 1987).

At the scale of geomorphic provinces, differences in regional climate, geology, and topography control the general geomorphic processes and ecosystems developed upon a landscape. Climate defines the temperature regime, general hydrologic characteristics (e.g., snowmelt-dominated versus rain-dominated hydrographs), and the variety of plants and animals capable of inhabiting a landscape (e.g., xeric versus temperate community associations). Regional geology influences both stream chemistry and the geomorphic processes occurring in a landscape. Karst topography, for example, reflects limestone bedrock, whereas earthflows typically occur in clay and tectonically shattered or deeply weathered rocks.

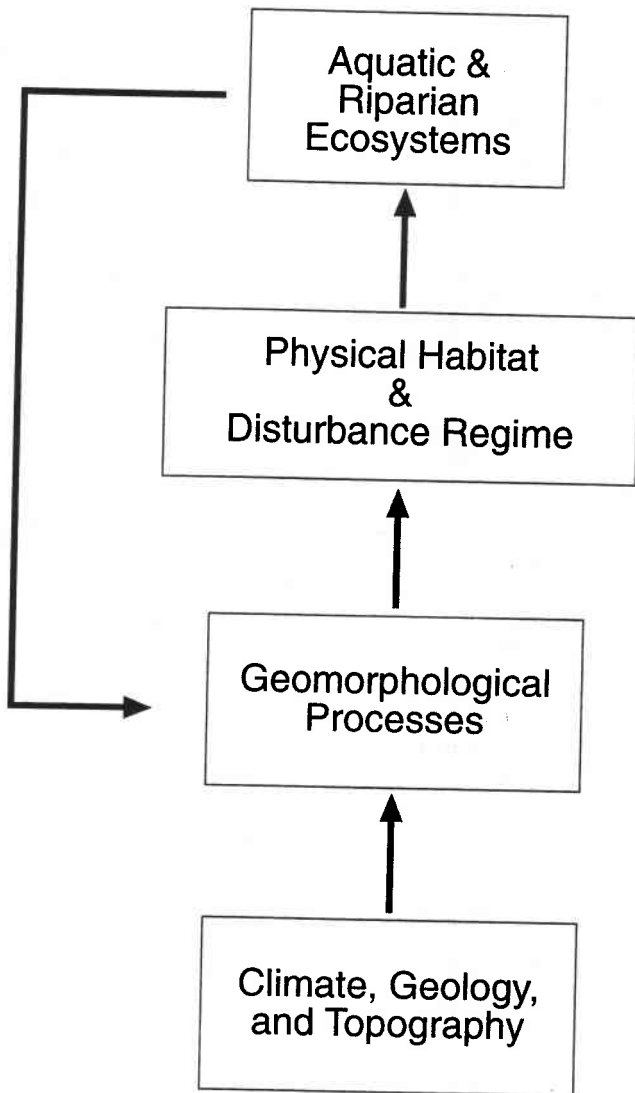


Figure 1. Schematic Representation of the Relations Among Geomorphological Processes, Habitat Structure, and Aquatic and Riparian Ecosystems.

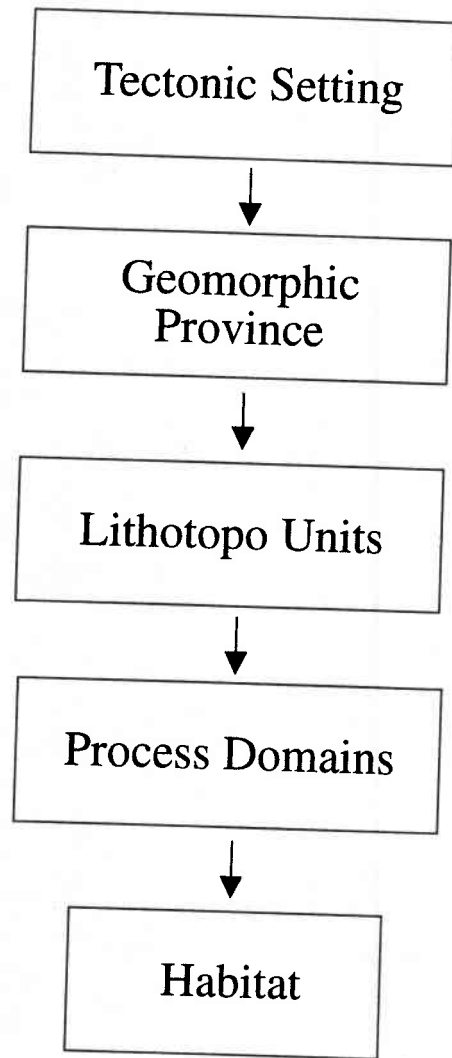


Figure 2. A Spatial Hierarchy for Examining Geological Controls on Ecological Systems.

The influence of regional topography on geomorphic processes is profound. For example, landsliding and debris-flow processes are important in steep terrain and relatively unimportant in low-relief watersheds. Moreover, topography governs channel slope, which strongly influences the structure and variability of in-channel habitat (Grant *et al.*, 1990; Montgomery and Buffington, 1997). The combined influences of climate, geology, and topography determine the suite of landscape-forming processes that govern channel characteristics and processes (Leopold *et al.*, 1964; Lotspeich, 1980; Brussock *et al.*, 1985), as well as the type and relative abundance of specific habitat attributes that influence communities developed at finer spatial scales (Corkum, 1989).

Within a geomorphic province, systematic differences in rock type and relief strongly influence physical habitat type, abundance, and characteristics. On the Olympic Peninsula, for example, a set of five basic lithotopo units identifies areas within which we would expect similar suites of geomorphic influences on ecological processes: (1) low-relief areas underlain by glacial sediments and outwash, (2) moderate relief areas underlain by glacial sediments and tertiary marine rocks, (3) tertiary sedimentary rocks in either low coastal mountains, (4) the high relief core of the range, and (5) high relief areas of the basaltic crescent (Figure 3). All of the major rivers on the Olympic Peninsula flow across several of these lithotopo units. Habitat characteristics may be much more similar between locations within a single lithotopo unit, but

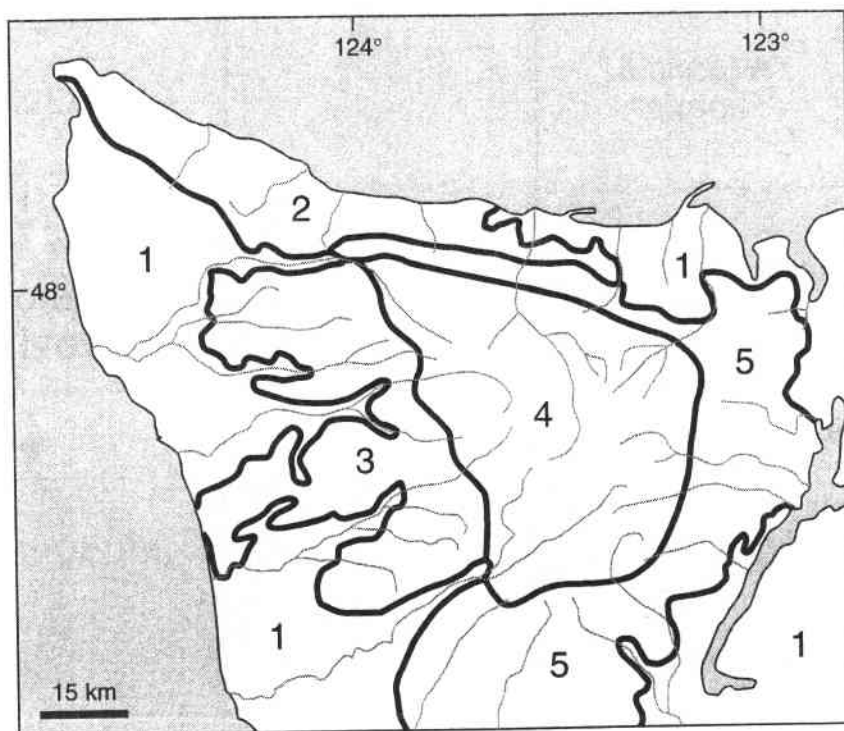


Figure 3. Lithotopo Units of the Olympic Peninsula; Numbers Correspond to Descriptions in the Text.

in different watersheds, than they may be between locations in two lithotopo units in the same watershed (Figure 4). Parallel to the ecoregion-level arguments advanced by Omernik and Bailey (1997), conditions in a similar lithotopo unit may provide insight into extrapolating assessments of environmental conditions across watersheds within a geomorphic province.

### GEOMORPHIC PROCESSES AND RIVER ECOLOGY

Physical processes influence species abundance and community structure in river systems through the variability of habitat characteristics in four dimensions: longitudinal (down valley), lateral (channel-floodplain), vertical (channel-groundwater), and temporal (Ward, 1989). While variability of the physical environment generally defines the habitat template to which organisms adapt (Southwood, 1977, 1988), the temporal and spatial variability of channel processes are particularly important controls on both local community composition and adaptive strategies for aquatic and riparian ecosystems (Minshall, 1988; Resh *et al.*, 1988; Power *et al.*, 1988; Ward, 1989; Poff, 1992). Two general conceptual models relate how

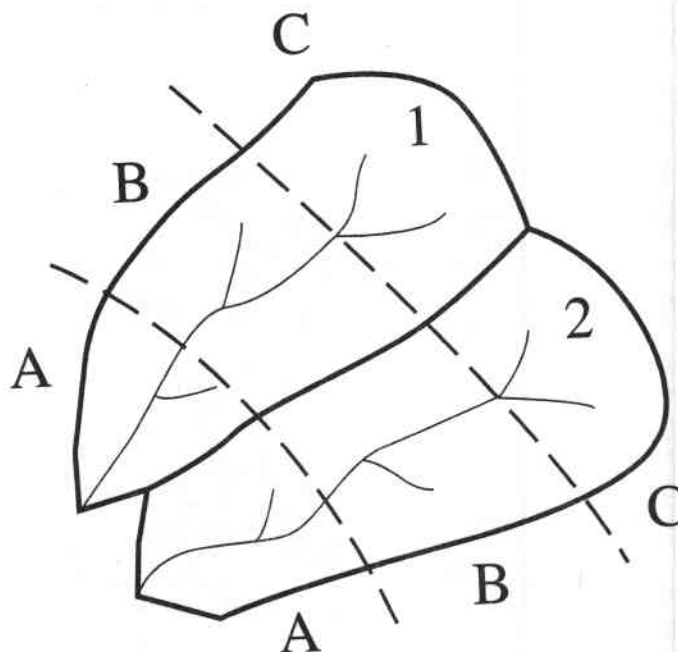


Figure 4. Relationship Among Lithotopo Units (A,B, C) and Watersheds (1,2). Stream channel properties in lithotopo unit C may be more comparable between the two watersheds than are stream channel properties within one of the watersheds, but in different lithotopo units.

biological communities and ecological processes respond to changes in the physical environment of river systems: the River Continuum Concept (Vannote *et al.*, 1980) and ideas concerning patch dynamics (Pickett and White, 1985). While each of these models pertains to aspects of geomorphic influences on aquatic ecology, neither model provides a complete framework.

*River Continuum Concept*

Vannote *et al.* (1980) proposed the River Continuum Concept (RCC) to relate longitudinal variations in aquatic communities to systematic downstream changes in river systems. The original RCC consisted of five propositions, but Statzner and Higlner (1985) presented a compelling case for dismissing all but the primary tenet: that river systems are characterized by a gradational continuum of physical conditions that control aquatic community composition from small headwater streams to large floodplain rivers. Although proponents of the RCC recognize the influence of local processes that disrupt the continuum (Bruns *et al.*, 1984; Cummins *et al.*, 1984; Minshall *et al.*, 1985), the RCC itself neglects discrete spatial differences in the effect of geomorphological processes on aquatic and riparian community structure. The interpretation of such spatial differences as local "exceptions" to the RCC (Bruns *et al.*, 1984) provides no insight into how such exceptions may be organized across space and time.

*Patch Dynamics*

Spatial and temporal variations in landscape-forming processes create habitat patches with distinctive sizes, persistence, and controlling mechanisms (Forman and Godron, 1978; Pringle *et al.*, 1988). Many biological communities are influenced by the division of landscapes into patches maintained by either disturbance or spatial transitions in the processes creating and maintaining habitat (Wiens, 1976; Forman and Godron, 1978; Pickett and White, 1985). This view of the controls on community structure emphasizes the highly localized nature of many

disturbance processes. Applied to river systems, patch dynamics concepts hold that temporally variable processes create distinct habitat patches that define an environmental template for aquatic and riparian ecosystems (Townsend, 1989). Such habitat patches can be created by a wide range of processes, and patch dynamics integrates inherently local effects. However, a key problem in applying patch dynamics concepts in mountain watershed is lack of ability to predict or identify areas characterized by different patch-forming processes.

*Routing Processes vs. Local Controls*

The RCC and patch dynamics ideas respectively pertain to the influence of routing processes and local controls on aquatic ecosystems. Channels collect water, sediment, and organic debris and route these materials through and eventually off of a landscape. Channel form and function reflect both local controls that govern the supply of sediment, water, solutes, and large wood and the ability of the channel to transport these inputs (Montgomery and Buffington, 1997). Physical processes governing the delivery and transport of these materials thereby create spatial and temporal variability of in- and near-channel habitat. Within this context, the RCC and patch dynamics models can be viewed as end-member concepts for the influence of geomorphic processes on ecosystems at the scale of valley segments and channel reaches. While some physical phenomena that influence aquatic and riparian ecosystems are continuum driven, others are controlled primarily by local influences (Figure 5). Hence, geomorphic influences on aquatic and riparian communities reflect a combination of processes exhibiting either continuum-like or patchy characteristics, as recently argued by Townsend (1996).

Patch forming processes produce spatial environmental variability (Wiens, 1976), and spatial influences on stream and riparian habitat units are governed by discrete objects, conditions, or processes. Examples of spatially controlled patch-forming processes include pool formation by scour around woody debris, bank projections, and flow convergence through meander bends. Temporally-controlled patch-

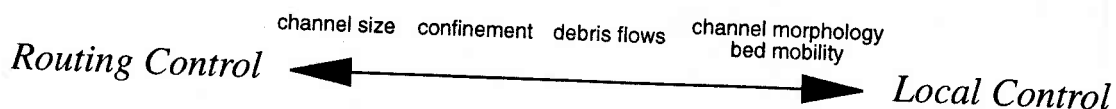


Figure 5. Continuum Between Local and Routing Controls on Certain Channel Processes.

forming processes involve a history of disturbance and recovery, such as side channel formation and abandonment, or variations in bed material due to fluctuating sediment supply (Reeves *et al.*, 1995). Patch-forming events occur over many scales and can reflect either routing or local influences, but they nonetheless result in inherently local effects.

Both the RCC and patch dynamics models apply to some of the processes structuring aquatic and riparian ecosystems through river networks, but neither model addresses the underlying spatial structure of geomorphic processes; the RCC also does not address temporal variation. In this sense, patch dynamics ideas provide a mechanism in search of a context. The concept of process domains can provide both (1) a context for applying patch dynamics ideas across whole landscapes and (2) a complementary alternative to the RCC that integrates the effects of both temporal variability in disturbance processes and the effects of upland processes on river systems. In essence, the concept of process domains provides a way to explicitly link the consideration of spatial and temporal variability in geomorphic influences on aquatic ecosystems in mountain drainage basins.

### THE PROCESS DOMAIN CONCEPT

The Process Domain Concept (PDC) maintains that systematic, landscape-scale patterns to disturbance processes exert distinct influences on lotic and riparian ecosystems (Table 1), in effect extending longstanding ideas concerning relations between vegetation patterns and physiographic forms and processes (Cowles, 1901). The assumption underlying the PDC is that the influence of spatial and temporal variability in geomorphic processes on biological systems is controlled by the size, frequency, and duration of the associated habitat disturbance. In this context,

disturbance may be defined as "any relatively discrete event in time that disrupts ecosystem, community, or population structure and changes resources, substrate availability, or the physical environment" (White and Pickett, 1985, pg. 7). The disturbance regime describes the spatial pattern and statistical distribution of events in terms of frequency, magnitude, and duration of associated changes in the physical environment (Figure 6). Process domains are predictable areas of a landscape within which distinct suites of geomorphic processes govern physical habitat type, structure, and dynamics; the disturbance regimes associated with process domains dictate the disturbance template upon which ecosystems develop (Swanson *et al.*, 1988). As such, process domains characterize systematic differences in the type and frequency of disturbance and habitat-forming events. In short, the concept of process domains provides a way to represent spatial differences in the disturbance regimes that structure habitat.

A key tenet of the PDC is that fundamental differences in landscape processes allow identification of landscape units that correlate with differences in ecosystem organization (for additional perspectives on this issue see the related discussions by Swanson *et al.*, 1988; Takeuchi *et al.*, 1995; and Parker and Bendix, 1996). Perhaps the most basic set of process domains includes: hillslopes, hollows, channels, and floodplains. Each of these, however, can be subdivided into finer scale distinctions (e.g., types of channels or floodplains). The PDC assumes that coarse differences in the distribution and/or community structure of aquatic and riparian flora and fauna parallel the distribution of these domains because of associated variations in disturbance processes. Simply put, the PDC holds that one can define and map domains within a watershed characterized by different geomorphic processes, disturbance regimes, response potential, and recovery time, and that the divisions so recognized have ecological significance.

TABLE 1. Effect of Spatial Scale on Geomorphological Influences on Ecosystems and the Biological Attributes Most Affected.

Spatial Scale	Geomorphological Influences	Biological Attributes
Regional/Physiographic Province	Climate, Topography, Geology	Types of Communities
Valley Segment/Channel Reach	Routing of Sediment, Water, and Organic Matter (RCC) Process Domains and Disturbance Regimes (PDC)	Community Composition and Species Abundance
Channel Unit or Patch	Local Factors/Disturbance History	Habitat Use by Individuals



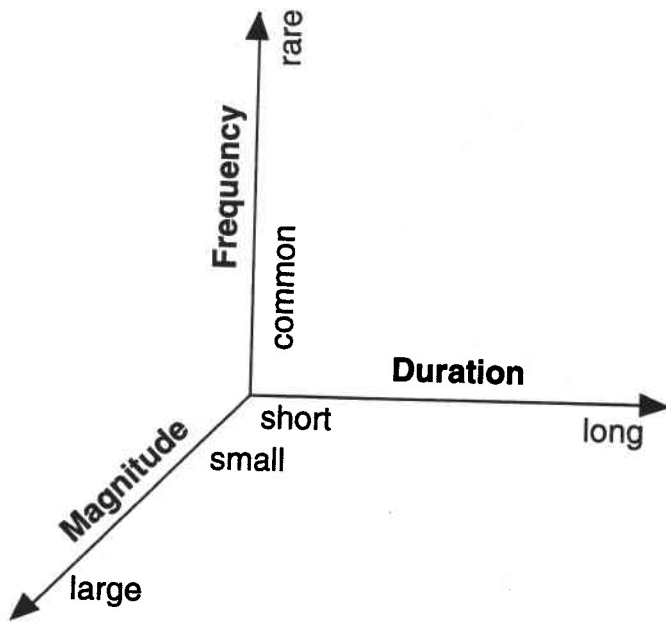


Figure 6. Disturbance Regimes for Geomorphological Processes Can be Defined in Terms of Frequency, Magnitude, and Duration of Associated Impacts.

The spatial extent of areas subject to different disturbance regimes are associated with areas subject to different geomorphic processes, such as fire, landsliding, channel migration, and flooding. Areas prone to shallow landsliding, for example, can be predicted using simple physics-based models (Dietrich *et al.*, 1993, 1995; Montgomery and Dietrich, 1994). Mapping the relative potential for slope failure across entire watersheds reveals systematic patterns that can be interpreted to assess areas of a watershed in which debris flows are a dominant process. Extension of similar models to other processes enables prediction of process domains based on the predicted distribution of hillslopes, hollows, different types of channels, landslide-prone areas and bedrock outcrop (Dietrich *et al.*, 1992, 1993). The process domains so identified predict areas with different habitat types, extent, and disturbance frequency in so much as they map disturbance regimes.

A typical mountain watershed in the Pacific Northwest, for example, has a number of distinct landscape-scale process domains (Figure 7). Convex planform hillslopes define zones of sediment production where rock is turned into colluvial soil through processes such as tree throw, splintering by freeze-thaw cycles, or the burrowing of fossorial mammals. Soil profiles rapidly come into equilibrium with production rates (Dietrich *et al.*, 1995), and hillslopes provide relatively stable, well-drained areas in which fire and wind are the dominant geomorphic

disturbance processes. Hollows are fine-scale, unchanneled valleys where topographic convergence concentrates sediment moved by soil creep, ravelling, and biogenic transport. Consequently, soil profiles thicken through time in hollows. Topographic convergence also focuses surface and subsurface runoff to hollows, which produces elevated soil moisture and leads to a cycle of gradual colluvial infilling followed by catastrophic evacuation by shallow landsliding (Dietrich and Dunne, 1978). Hollows have both greater seasonal saturation than neighboring hillslopes and a natural debris flow recurrence interval on the order of thousands of years (Reneau and Dietrich, 1990, 1991).

Immediately downslope of hollows, fluvial processes are relatively ineffective in the ephemeral colluvial channels that form the tips of the channel network (Montgomery and Buffington, 1997). Here the dominant sediment transport process and disturbance agent is scour by debris flows originating in upslope hollows. Because of the numerous upslope debris-flow source areas, colluvial channels are subject to much more frequent disturbance than are hollows (Reneau and Dietrich, 1987). Steep alluvial channels tend to be confined by narrow valley walls, whereas low-gradient alluvial channels tend to build laterally extensive floodplains. Direct disturbance by floods is enhanced in confined channels in comparison to unconfined channels in which overbank flows spread across the floodplain. Channel migration and avulsions also give rise to a variety of habitat types over different time scales in floodplain channels. Avalanche chutes and bedrock outcrops are additional, distinct process domains that are common in high elevation catchments. Each of these topographic positions can be readily mapped in the field, and with appropriate methods can be predicted from digital topography (Dietrich *et al.*, 1992). The PDC assumes that different physical processes and disturbance regimes in these distinct process domains impart spatial variability to the biological communities that develop upon a landscape.

#### *Landscapes as Mosaics of Process Domains*

Landscape-specific arrangements and linkages among process domains impart unique spatial patterns to particular river systems. Hillslope/channel interactions, for example, differ dramatically between the wide u-shaped valleys of the northern Cascades and the narrow v-shaped valleys of the southern Cascades. Wide u-shaped valleys filled with glacial sediments tend to disconnect channels from hillslope processes, whereas channels in the narrow valleys of central Oregon are not buffered from disturbances

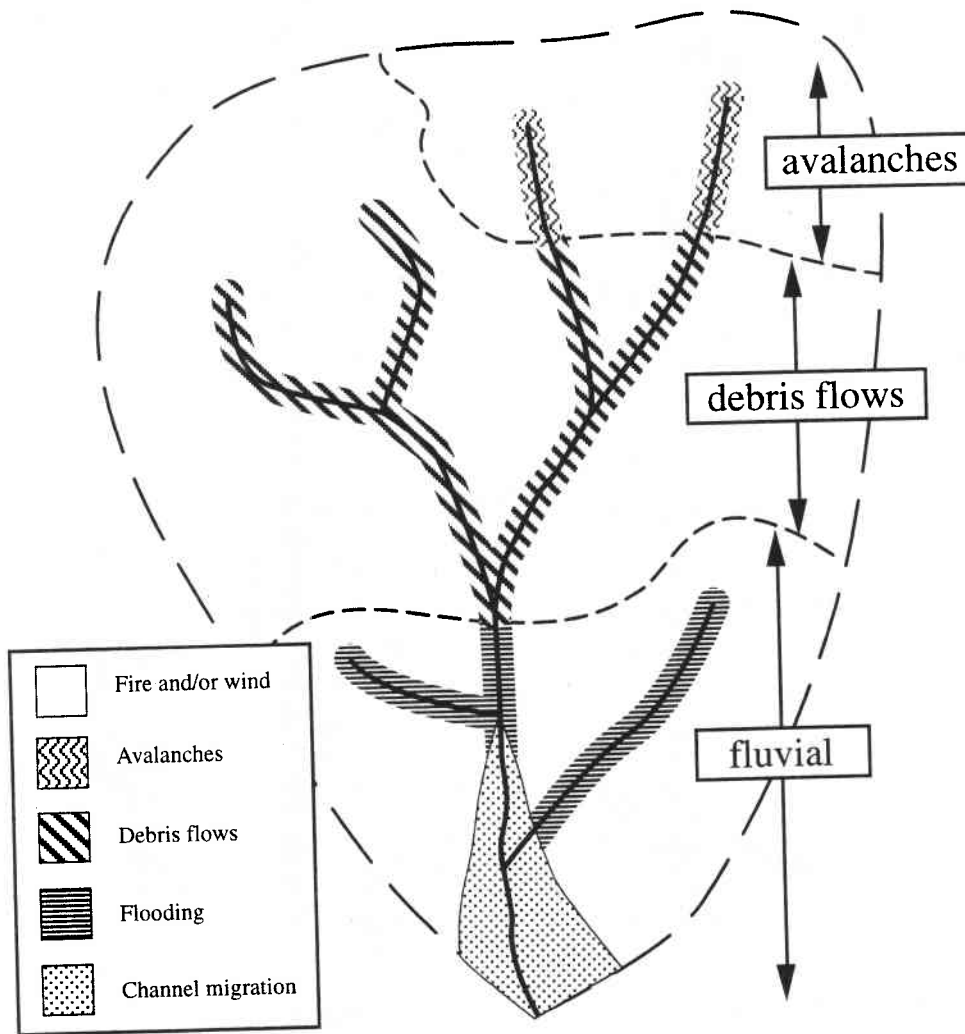


Figure 7. Typical Coarse-Scale Riverine Process Domains for Pacific Northwest Drainage Basins.

originating on hillslopes (Grant and Swanson, 1995). The particular mosaic of process domains arrayed across a landscape controls the types of physical habitat and their variability through time, which in turn, influence aquatic and riparian community composition and dynamics. Unfortunately, most land management approaches fail to recognize the highly structured nature of the process domains upon which human actions are superimposed.

Linking spatial and temporal variability in the controls on habitat to population, community, and ecosystem dynamics also requires consideration of scale. The disturbance regime of a single channel is an altogether different concept than the collective disturbance regime of channels in the headwater portions of a watershed. While local disturbance is important for an individual organism, temporal

patterns of disturbance over larger spatial scales control population and community response and stability (Reeves *et al.*, 1995). Moreover, the relative influence of continuum versus local geomorphic influences on ecosystems to some extent reflects the scale under consideration. Local influences become progressively more important with decreasing spatial and temporal scales, whereas many geomorphic influences on aquatic and riparian ecosystems become more continuum-like over longer spatial and temporal scales. Although there are few data available against which to directly test the PDC, there are many examples that indicate the premise underlying the concept appears valid.